Attorney's Docket No.: 05489.P010 Confirmation No. 9112

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**Patent** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Wayne V. Sorin, et al.

Examiner: Unassigned

Application No.: 10/040,526

Art Unit: Unassigned

Filed:

December 28, 2001

For: ACOUSTO-OPTIC TUNABLE APPARATUS HAVING) A FIBER BRAGG GRATING AND AN OFFSET CORE

**Assistant Commissioner for Patents Box Missing Parts** Washington, D.C. 20231

> RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed February 13, 2002, please find enclosed:

- a check in the amount of \$130.00 in payment of the surcharge of (1) 37 C.F.R. § 1.16(e);
  - a copy of the Notice to File Missing Parts of Application; and (2)
  - a signed Declaration and Power of Attorney. (3)

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 4/11/02

**Date of Deposit** 

Michelle R. Offenbaker

Name of Person Mailing Correspondence

042390P11020

- 1 -

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4-11 - 2002

Thomás S. Ferrill

Registration No. 42,532

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TOT CLAIMS IND CLAIMS GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS APPLICATION NUMBER FILING DATE 37 1130 005489.P010 2874 12/28/2001 10/040,526

**CONFIRMATION NO. 9112** 

**FILING RECEIPT** 

Thomas S. Ferrill

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP COC000000007466153\*

Seventh Floor

12400 Wilshire Boulevard

Los Angeles, CA 90025-1026

. ZEFMAN

Date Mailed: 02/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Rices provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Wayne Sorin, Mountain View, CA;

B. Yoon Kim, Mountain View, CA;

Please replace the 3 with ENTERED

Domestic Priority data as claimed by applicant

FEB 1 9 2002

the full NAME "Byoung".

**Foreign Applications** 

STATUS DB-LA

If Required, Foreign Filing License Granted 02/12/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Acousto-optic tunable apparatus having a fiber bragg grating and an offset core

**Preliminary Class** 

385

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FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

APPLICATION NUMBER 10/040,526

FILING/RECEIPT DATE 12/28/2001

Wayne Sorin

005489.P010

**CONFIRMATION NO. 9112** 

**FORMALITIES LETTER** 

\*OC000000007466154\*

Thomas S. Ferrill BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Seventh Floor 12400 Wilshire Boulevard Los Angeles, CA 90025-1026

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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